



Attorney Docket No. YOR920030107US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Patent Application**

Applicant(s): Charu C. Aggarwal  
Docket No.: YOR920030107US1  
Serial No.: 10/601,215  
Filing Date: June 20, 2003  
Group: To Be Assigned  
Examiner: To Be Assigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature: [Signature] Date: September 25, 2003

Title: Method and Apparatus for Classifying Time Series Data  
Using Wavelet Based Approach

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

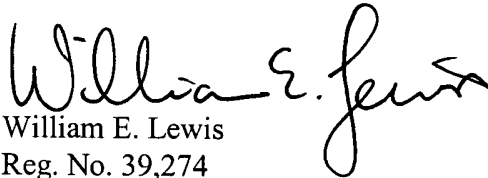
Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. J. Gehrke et al., "BOAT-Optimistic Decision Tree Construction," SIGMOD Conference, 12 pages, 1999.
2. J. Gehrke et al., "Rainforest - A Framework for Fast Decision Tree Construction of Large Datasets," VLDB Conference, 12 pages, 1998.
3. J. Gehrke et al., "Data Mining With Decision and Regression Trees," ACM SIGKDD Conference Tutorial, slides KDD99 T1-1 through KDD99 T1-134, 70 pages, 1999.
4. D.A. Keim et al., "Wavelets and their Applications in Databases," ICDE Conference, pp.1-60, 2001.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,



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Date: September 25, 2003

**FORM PTO-1449 (MODIFIED)****LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT**

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**U.S. PATENT DOCUMENTS**

EXAMINER						FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE	

**FOREIGN PATENT DOCUMENTS**

EXAMINER						TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO

**OTHER DOCUMENTS**

EXAMINER				
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.		

- 1. J. Gehrke et al., "BOAT-Optimistic Decision Tree Construction," SIGMOD Conference, 12 pages, 1999.
- 2. J. Gehrke et al., "Rainforest - A Framework for Fast Decision Tree Construction of Large Datasets," VLDB Conference, 12 pages, 1998.
- 3. J. Gehrke et al., "Data Mining With Decision and Regression Trees," ACM SIGKDD Conference Tutorial, slides KDD99 T1-1 through KDD99 T1-134, 70 pages, 1999.
- 4. D.A. Keim et al., "Wavelets and their Applications in Databases," ICDE Conference, pp.1-60, 2001.

Examiner

Date Considered

**Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.